

FORM PTO-1390 (REV. 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 931A 3750 PCT	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.5) --	
INTERNATIONAL APPLICATION NO. PCT/CN2003/000594		INTERNATIONAL FILING DATE July 24, 2003		PRIORITY DATE CLAIMED December 30, 2002	
TITLE OF INVENTION		SURFACE MODIFICATION METHOD IN FABRICATING HIGH TEMPERATURE SUPERCONDUCTING DEVICES			
APPLICANT(S) FOR DO/EO/US		ZHENGHE HAN, SANSHENG WANG, KAI WU and MENGLIN LIU			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 					
Items 11 to 19 below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> Other items or information: 					

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) --	INTERNATIONAL APPLICATION NUMBER PCT/CN2003/000594	ATTORNEY'S DOCKET NUMBER 931A 3750 PCT
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20. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO of JPO **\$1,000**

International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$1,000**

International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO **\$770**

International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$200**

International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100**

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of **\$130** for furnishing the oath or declaration later than months from the earliest claimed priority date (37 C.F.R. 1.492(e)). ☐ 20 ☐ 30

CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE
Total claims		20		\$ 50
Independent claims		3		\$ 200
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+	\$360
TOTAL OF ABOVE CALCULATIONS =				

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

SUBTOTAL =

Processing fee of **\$130** for furnishing the Declaration later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)). ☐ 20 ☐ 30

TOTAL NATIONAL FEE = \$ 65

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). **\$40** per property + \$ 40

TOTAL FEES ENCLOSED = \$ 105

\$

Amt. Refunded

Amt. charged

CALCULATIONS PTO USE ONLY

a. ☒ Checks in the amounts of \$40 and \$ 65 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. 11-1445 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:


KODA & ANDROLIA

2029 Century Park East, Suite 1140

Los Angeles, CA 90067-2983

Dated: July 6, 2005

Form PTO-1390 (REV 10-2003)



SIGNATURE

William L. Androlia

REG.NO. 27,177

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ZHENGHE HAN et al.

Int'l Application No.: PCT/CN2003/000594

Int'l Filing Date: July 24, 2003

For: SURFACE MODIFICATION METHOD IN
FABRICATING HIGH TEMPERATURE
SUPERCONDUCTIVE DEVICES

Art Unit: --

Examiner: --

10/541296

**TRANSMITTAL OF SECOND OR SUBSEQUENT SUBMISSION OF ITEMS
CONCERNING A FILING UNDER 35 U.S.C. 371**Mail Stop: PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith are subsequent documents relating to the PCT U.S. National Stage application filed on June 30, 1995:

- ☒ New PCT application transmittal form for second or subsequent submission of items;
- ☒ Two sheets of Declaration and Power of Attorneys;
- ☒ Processing fee of \$65.00 for late filing of the Declaration and Power of Attorney; and
- ☒ Two sheets of Assignments and a Recordation Form Cover Sheet and check for \$40.

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 11-1445. A copy of this letter is enclosed.

Respectfully submitted,

KODA & ANDROLIA

By: William L. Androlia
Registration No. 27,177
Attorney for Applicant(s)

Dated: July 6, 2005

2029 Century Park East, Suite 1140
Los Angeles, California 90067-2983
Telephone: 310-277-1391
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65.00 CP

I hereby certify that this correspondence is being deposited with the United States Postal
Service as Express Mail No. EV583379287US addressed to:

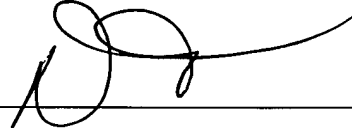
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

on July 6, 2005 by Daphne Turban.

Dated: _____

7/6/2005

By: _____



931A 3750

NTD

Applicant(s):

**ZHENGHE HAN, SANSHENG WANG, KAI WU and
MENGLIN LIU**

FOR:

**A SURFACE IMPROVEMENT METHOD IN
FABRICATING HIGH TEMPERATURE
SUPERCONDUCTOR DEVICES**